

# Medical Decision Making Capacity

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FAIR LAWN  
VOLUNTEER  
AMBULANCE CORPS.









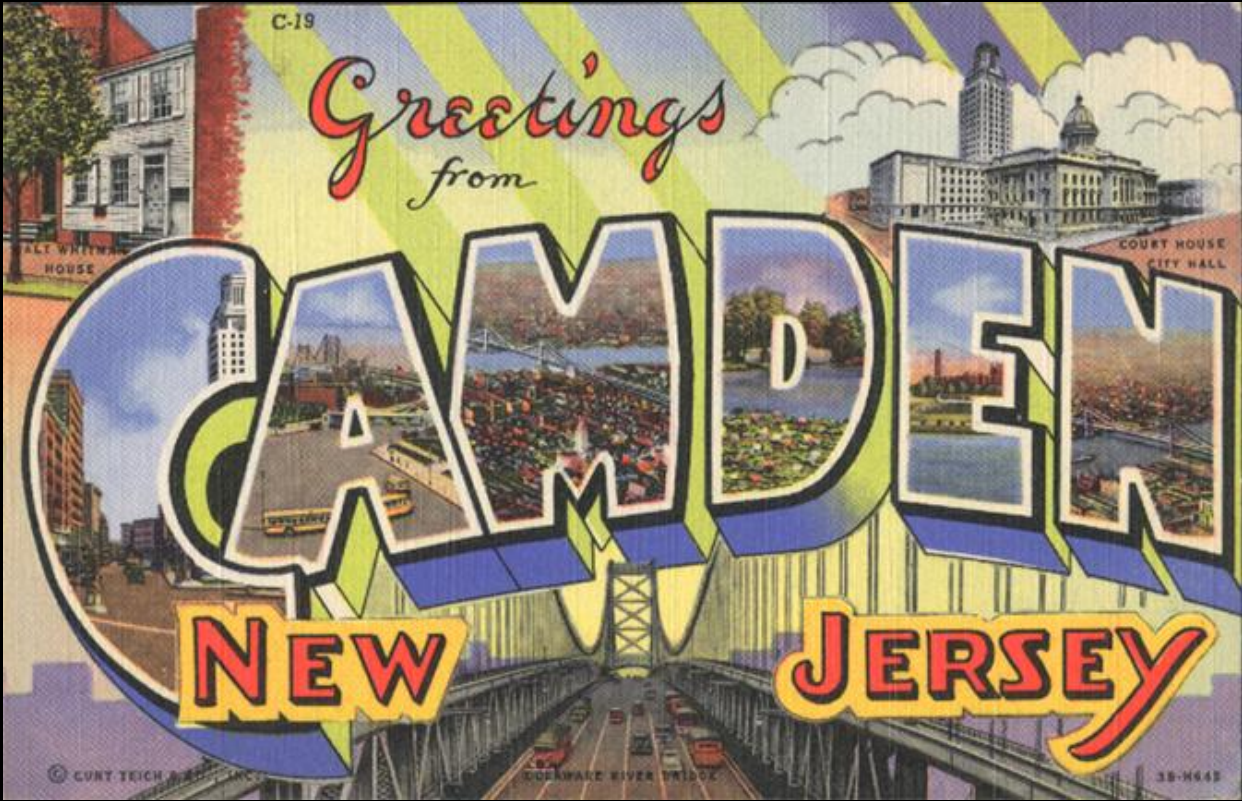


# About Me

- Jonathan Bar, MD4
- Former EMT
- Former Wilderness Instructor
- Chief Resident, Hospital of University of Pennsylvania
- Cooper EMS Fellow



# CAMDEN, NJ





# CAMDEN, NJ







# Disclosures

- I have no financial conflicts of interest to disclose.
- I am NOT a lawyer. None of what I'm about to tell you should be considered legal advice. It's for education only.
- There is state-to-state variability on this. Make sure you check out your local laws
- I am the principal investigator of an unfunded, small research project evaluating EMS providers ability to assess decision making capacity. I will not be sharing any unpublished data today.





GET ON THE COT NOW AND NO ONE GETS HURT!



DFI Tech © 2012  
EMS 1 - Fun Paramedic Cartoons



# Objectives

1. Why capacity assessments matter
2. Define (or at least describe) medical decision-making capacity
3. Review assessment of capacity
4. Case review





# Why Do Capacity Assessments Matter?





1891



# Why Do Capacity Assessments Matter?

- Patients have rights







What can happen if you take someone against their will inappropriately?

- Assault
- Battery
- Wrongful Imprisonment





What can happen if you leave someone behind who doesn't have capacity?

- Negligence
- Abandonment



# It could happen to you!

- St. George v. City of Deerfield Beach
- Potts v. Board of Leavenworth County
- Senk v. Village of Northfield, 45 F 3d 431 (6th Cir. 1994)
- Pavlov v. Community Emergency Medical Service, Inc., 491 NW2d 874 (Mich. 1992)
- Taplin v. Town of Chatham, 453 NE 2d 421 (MA 1983)
- Wideman v. DeKalb County, 409 SE 2d (Ga. 1991)



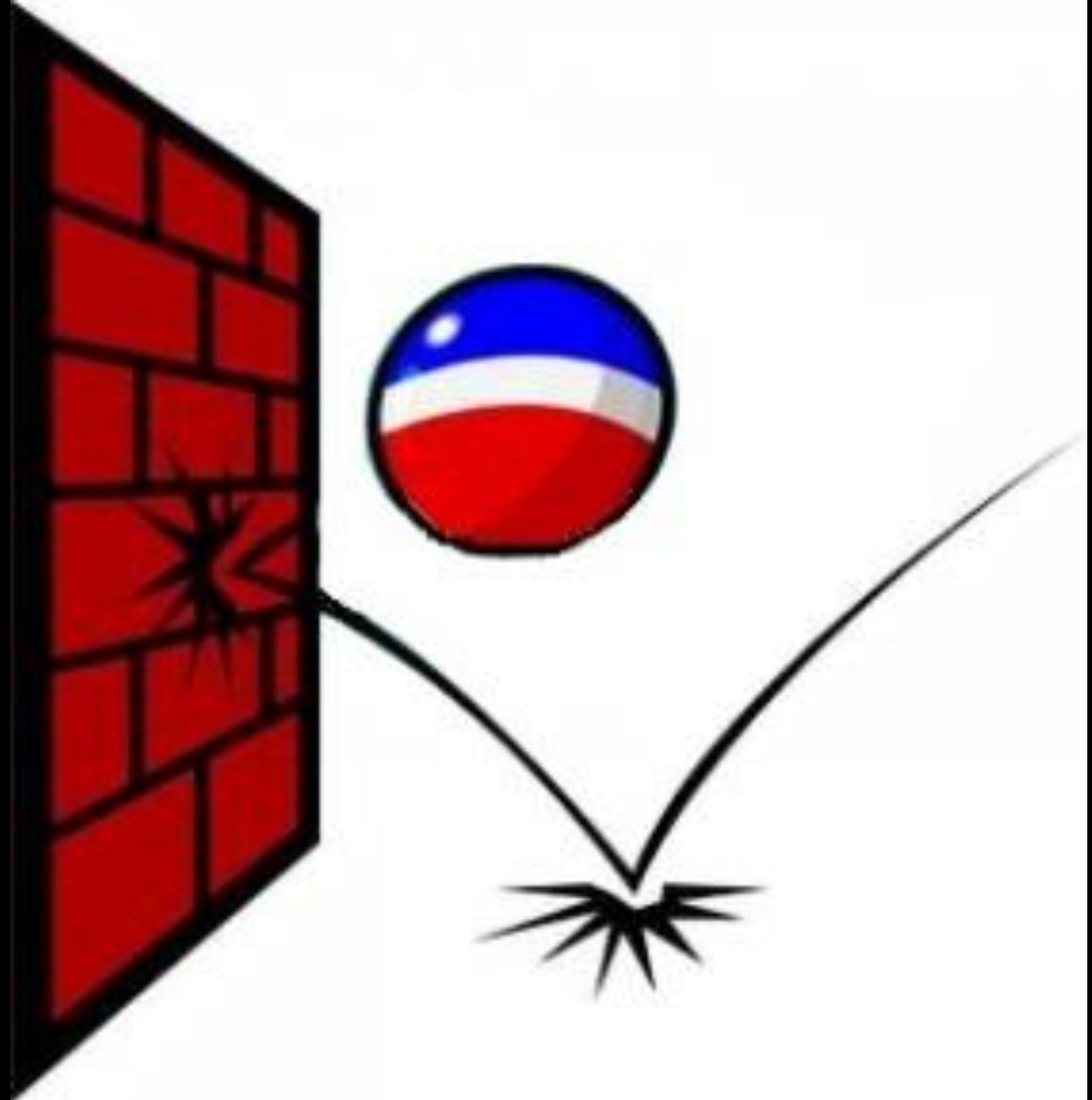
# Why Do Capacity Assessments Matter?

- There are consequences for violating those rights





How good are  
EMS providers  
at assessing  
capacity?



How good are  
physicians at  
assessing  
capacity?





# Why Do Capacity Assessments Matter?

1. Patients have rights
2. There are consequences for violating those rights
3. We aren't very good at them





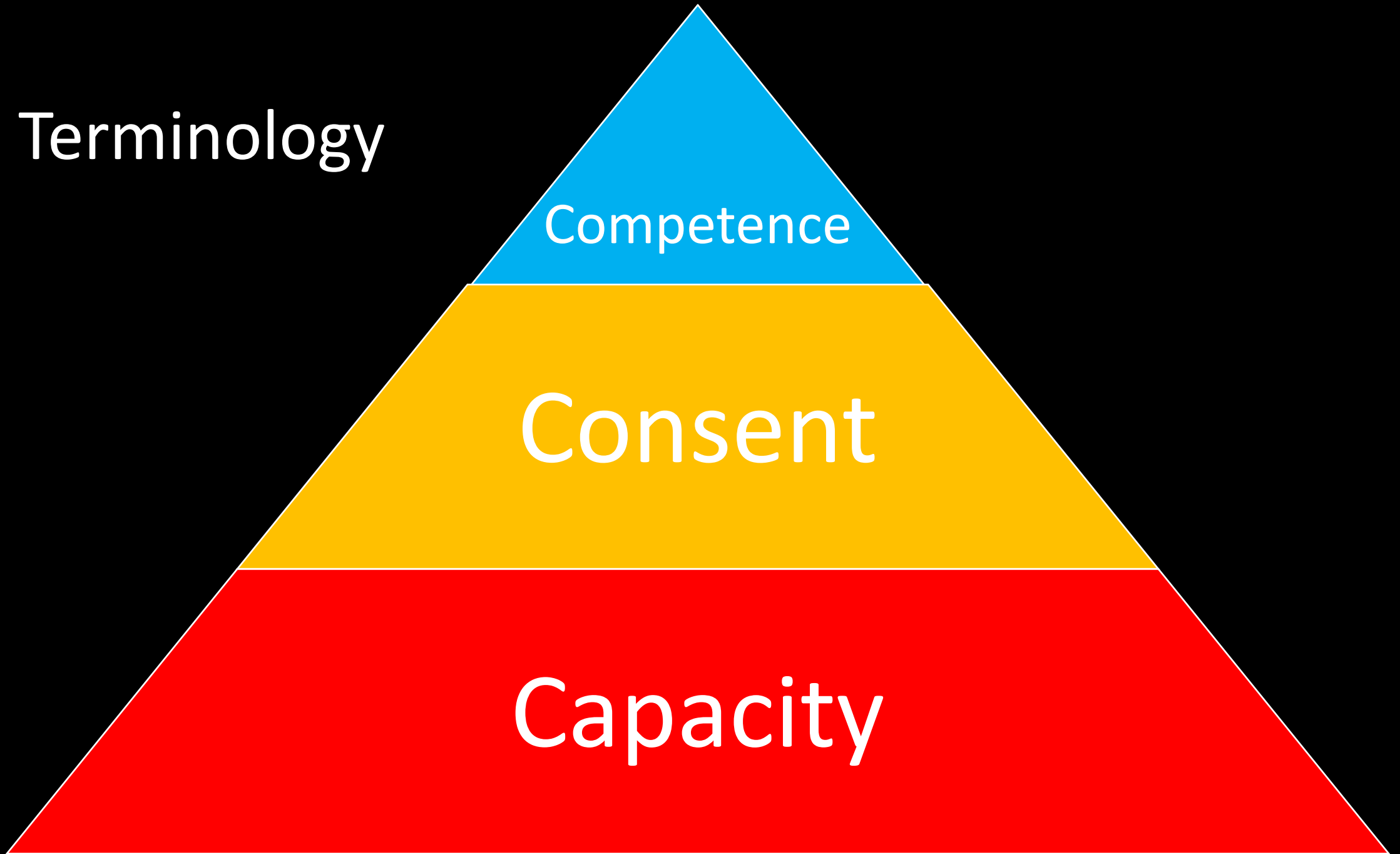
What is Capacity?

Terminology

Competence

Consent

Capacity







# Capacity Definition - NASEMSO

## Decision-Making Capacity: Part 1/2

- An individual who is **alert, oriented**, and has the ability to **understand the circumstances** surrounding his/her illness or impairment, as well as the **possible risks** associated with refusing treatment and/or transport, typically is considered to have decision-making capacity.



# Capacity Definition - NASEMSO

## Decision-Making Capacity: Part 2/2

- The individual's judgment must also **not be significantly impaired** by illness, injury or drugs/alcohol intoxication. Individuals who have **attempted suicide**, verbalized **suicidal intent**, or have other factors that lead EMS providers to **suspect suicidal intent**, should not be regarded as having decision-making capacity and may not decline transport to a medical facility.





# Other Elements of Capacity

Pt must understand the relevant information about the proposed diagnostic tests or treatments

Pt must appreciate their situation

Pt must use reason to make a decision

Pt must communicate their choice

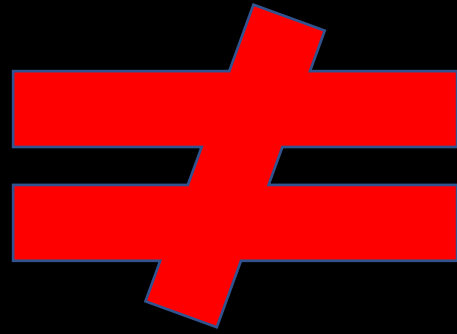
Pt must not be a minor (or must be emancipated)



But Doc!!! He's  
answering all  
the questions.  
I'm not gonna  
kidnap him!



# Orientation



# Capacity

# Capacity is a spectrum



No Capacity

Limited Capacity

Full Capacity





Capacity varies over time

▲ open

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# Capacity Assessment

## Check for disqualifiers

- Age <18 (not emancipated)
- Clinical intoxication
- +SI/HI
- Pt unable/unwilling to communicate
- If yes, to any of the above, patient does **not have** capacity

## Risks and benefits

- Explain the risks and benefits to the patient.
- Check that the patient **understood** the risks/benefits.
- If patient cannot demonstrate understanding of risks/benefits, then patient does **not have** capacity.

## Check Reasoning

- Is the choice based on a reason? You don't have to agree with the reason.
- If not (Ex. I don't want to go to the hospital because the sky is blue), then the patient **does not** have capacity.

## Callback Precautions

- If the patient has capacity and is going to refuse, advise them that they are always free to call back or seek care in other ways.
- Warn them about concerning signs, symptoms, or other things to watch out for.

# Language Matters! Head trauma on blood thinners



It's not enough to say, "if you refuse you could die."

"You hit your head and on you are on a medication that increases the risk of bleeding. I am worried that you have bleeding around your brain, and we won't be able to tell that without a CT scan. If you don't go to the hospital, the bleeding could get worse, which could result in permanent disability or death. Do you understand? Please **repeat** these concerns back to me."





# Case review

- Adult female called police after her house was broken into
- Made statement to PD that she was so upset that she 'wants to jump off a bridge'
- On EMS arrival, she recants this statement, denies any psychiatric or physical complaints
- Is AOx4, normal vitals, does not want transport
- WHAT DO YOU DO?



# Case review

- Adult male patient found down outside on the street, unresponsive, apneic
- Pinpoint pupils and drug paraphernalia noted on scene
- After 10 min of ventilation and 4mg IN naloxone, he is awake and alert
- Answers questions appropriately, wants to refuse
- Tachycardic, hypertensive, diaphoretic
- WHAT DO YOU DO?
- WHAT MORE DO YOU NEED TO KNOW?



# Case review

- 15 year-old boy crashes his bike
- No LOC, has abrasion to arms and legs, otherwise appears well
- No complaints, vitals normal
- Parents are not on scene
- WHAT DO YOU DO?





# Case review

- You are called to a residence by PD after they responded to a domestic
- Patient is a female in her 40s erratic, agitated, shouting
- Unable to answer questions or hold a conversation
- Throwing things onto the street
- Possible weapons in the house
- Patient has locked herself inside
- WHAT DO YOU DO?



# Case review

- Elderly male at home, denies complaints, you were called for a psychiatric emergency
- He doesn't know why you were called
- PD state they were called for possible sounds of gunshots
- Patient denies physical or psychiatric complaints, does not want to harm himself or anyone else
- On further questioning, he reports seeing people in the woods outside his house, and does report firing a shotgun at them
- WHAT DO YOU DO?



# Case review

- Elderly male at a bowling alley, EMS called for a slip and fall
- Hit his head, no LOC
- Has scalp hematoma, otherwise normal exam and vitals, no deficits
- Has had '2 beers'
- Is on Eliquis
- WHAT DO YOU DO?





# Case review

- Adult female, paraplegic in wheelchair with chronic foley
- Family called for confusion and fever
- They report recent admission for UTI with sepsis and organ dysfunction
- She is awake and alert, but unable to sufficiently answer questions regarding risks of refusal
- Physically resisting transport, grabbing wheels on wheelchair when you try to move her
- WHAT DO YOU DO?





Thank you!

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